

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Wolfgang Wuttke, Hubertus Jarry,  
Michael Popp, Volker Christoffel, and  
Barbara Spengler

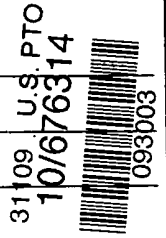
Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: BIONORICA AGAddress: Kerschensteinerstrasse 11-15D-92318City: NEUMARKT State/Prov.: \_\_\_\_\_Country: GERMANY ZIP: \_\_\_\_\_

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: 22/08/01; 30/08/01; 07/09/01

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

09/856,21918 May 2001

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward G. Poplawski, Esq.Registration No. 33,439Address: Sidley Austin Brown & Wood555 West Fifth StreetCity: Los Angeles State/Prov.: CACountry: U.S.A. ZIP: 90013-1010

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-1597

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nisan A. Steinberg, Ph.D., Reg.# 40,345

Name of Person Signing

Signature

Date

11

Total number of pages including cover sheet, attachments, and

## ASSIGNMENT

WHEREAS, **Wolfgang Wuttke** of Bovenden, Germany; **Hubertus Jarry** of Neu-Eichenberg, Germany; **Michael Popp** of Lauf/Pegnitz, Germany; **Volker Christoffel** of Sengenthal, Germany, and **Barbara Spengler** of Neumarkt/Opf, Germany, Assignors, have invented a new and useful invention entitled **PROLACTIN LOWERING DRUG**, for which an application for United States Letters Utility Patent was filed by them on May 18, 2001, under U.S. Serial No. 09/856,219 (under 35 U.S.C. 371 as the national phase of International Application No. PCT/EP99/08507) ; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in the application for Letters Patent; and

WHEREAS, **BIONORICA AG**, a corporation organized and existing under the laws of Germany, having a place of business at Kerschensteinerstrasse 11-15, D-92318 Neumarkt, Germany, Assignee, desires to acquire by formal, recordable assignment the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States of America;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title

and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States of America, including the right to file related divisional, continuation, continuation-in-part or reissue applications directly in the name of Assignee and to claim for any such applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will perform all lawful acts, including the execution of papers and the giving of testimony, that might be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States of America for the invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the invention, the application, and any Letters Patent granted for the invention in the United States of America.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with any of the terms, conditions, or provisions of this Assignment.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors:

Date: 7.9.01

W. W. Wuttke  
WOLFGANG WUTTKE

Date: 7.09.01

Dr. H. Jarry  
HUBERTUS JARRY

Date: \_\_\_\_\_

\_\_\_\_\_  
MICHAEL POPP

Date: 22. Aug. 2001

V. Christoffel  
VOLKER CHRISTOFFEL

Date: 22. Aug. 2001

Barbara Spengler  
BARBARA SPENGLER

In the Jurisdiction:

) ss. Dr. Reinhard-Johannes Bodenburg

37073 Göttingen

}

Country

On this 07. day of September, in the year of 2001, before me personally appeared **WOLFGANG WUTTKE**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



*[Signature]*  
Notary Public in and for Said  
Jurisdiction

Dr. Bodenburg, Notar

In the Jurisdiction:

) ss. Dr. Reinhard-Johannes Bodenburg

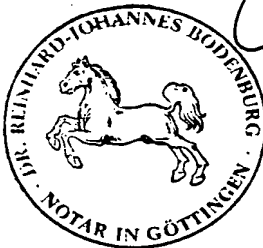
37073 Göttingen

}

Country

On this 07. day of September, in the year of 2001, before me personally appeared **HUBERTUS JARRY**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



*[Signature]*  
Notary Public in and for Said  
Jurisdiction

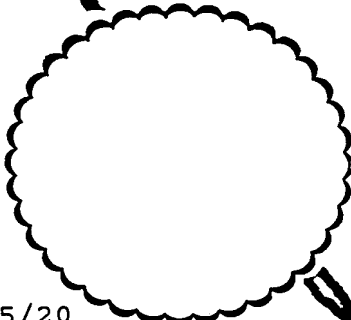
Dr. Bodenburg, Notar

Nr. 399 der Urkundenrolle für 2001

Die Frage des Notars nach einer Vorbefassung im Sinne des § 3 Abs. 1 Satz 1 Nr. 7 BeurkG wird von den Erschienenen verneint.

Umstehend, heute eigenhändig vor mir vollzogene Namensunterschriften der mir persönlich bekannten Herren Prof. Dr. Wolfgang Wuttke und Prof. Dr. Hubertus Jarry, geschäftsansässig Universität Göttingen, Frauenklinik, Robert-Koch-Straße 40, 37075 Göttingen, beglaube ich hiermit notariell.

Göttingen, den 07. September 2001



Dr. Bodenburg  
Notar

Kostenberechnung:

Wert: 5.000,00 DM

Gebühr § 45 Kost.O. 5/20

Schreibauslagen §§ 136, 152 I Kost.O.

Portoauslagen § 152 II Kost.O.

Zwischensumme:

16 % Mehrwertsteuer § 151a Kost.O.

Gesamtsumme:

20,00 DM

3,00 DM

1,20 DM

24,20 DM

3,87 DM

28,07 DM

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Dr. Bodenburg  
Notar

## ASSIGNMENT

WHEREAS, **Wolfgang Wuttke** of Bovenden, Germany; **Hubertus Jarry** of Neu-Eichenberg, Germany; **Michael Popp** of Lauf/Pegnitz, Germany; **Volker Christoffel** of Sengenthal, Germany, and **Barbara Spengler** of Neumarkt/Opf, Germany, Assignors, have invented a new and useful invention entitled **PROLACTIN LOWERING DRUG**, for which an application for United States Letters Utility Patent was filed by them on May 18, 2001, under U.S. Serial No. 09/856,219 (under 35 U.S.C. 371 as the national phase of International Application No. PCT/EP99/08507) ; and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in the application for Letters Patent; and

WHEREAS, **BIONORICA AG**, a corporation organized and existing under the laws of Germany, having a place of business at Kerschensteinerstrasse 11-15, D-92318 Neumarkt, Germany, Assignee, desires to acquire by formal, recordable assignment the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States of America;

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and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States of America, including the right to file related divisional, continuation, continuation-in-part or reissue applications directly in the name of Assignee and to claim for any such applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

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Assignors authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.



IN WITNESS WHEREOF, Assignors have executed this Assignment.

**Assignors:**

Date: \_\_\_\_\_

\_\_\_\_\_  
WOLFGANG WUTTKE

Date: \_\_\_\_\_

\_\_\_\_\_  
HUBERTUS JARRY

Date: 30. Aug. 2001

  
\_\_\_\_\_  
MICHAEL POPP

Date: 22. Aug. 2001

  
\_\_\_\_\_  
VOLKER CHRISTOFFEL

Date: 22. Aug. 2001

  
\_\_\_\_\_  
BARBARA SPENGLER

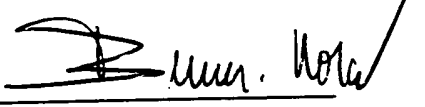
URNr. 4730 B (2001

In the Jurisdiction: ) ss.

Nürnberg, Germany }  
Country

On this 12th day of September, in the year of 2001, before me personally appeared **MICHAEL POPP**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.

  
\_\_\_\_\_  
Notary Public in and for Said  
Jurisdiction

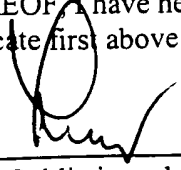
URNr. 1.

In the Jurisdiction: ) ss.

Heunrodt i.d. Pf., Germany }  
Country

On this 22nd day of August, in the year of 2001, before me personally appeared **VOLKER CHRISTOFFEL**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.

  
\_\_\_\_\_  
Notary Public in and for Said  
Jurisdiction

URNr. 1480 / 2001 L

In the Jurisdiction:

) ss.

Neumarkt i.d. Ofr

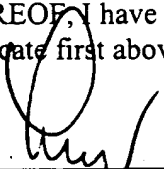
}

Country

On this 22nd day of August, in the year of 2001, before me personally appeared **BARBARA SPENGLER**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



  
\_\_\_\_\_  
Notary Public in and for Said  
Jurisdiction

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